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## TRANSMITTAL LETTER TO THE UNITED STATES

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INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/JP99/05360

30 September 1999

NONE

TITLE OF INVENTION

PACKET TRANSMISSION METHOD AND DEVICE

APPLICANT(S) FOR DO/EO/US

NARA Hideaki

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

## Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☐ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

Notice for Consideration of Documents Cited in International Search Report/Drawings (11 Sheets)/PCT/IB/308

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331 Rec'd 11...

30 MAY 2001

DOCKET NO.: 206641US2PCT

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF:  
HIDEAKI NARA

:ATTN: APPLICATION DIVISION

SERIAL NO: NEW U.S. PCT APPLICATION :  
(Based on PCT/JP99/05360)

FILED: HERewith :

FOR: PACKET TRANSMISSION  
METHOD AND DEVICE

PRELIMINARY AMENDMENT

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Prior to examination on the merits, please amend the above-identified application as follows.

IN THE SPECIFICATION

Please replace the title on Page 1, line 1, with the following:

METHOD AND APPARATUS FOR PACKET TRANSMISSION

REMARKS

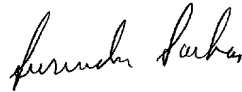
No new matter is believed to have been added to this application by this amendment.

Applicants submit that the present application is ready for examination on the merits.

Early notice to this effect is earnestly solicited.

Respectfully submitted,

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30 MAY 2001

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**Marked-Up Copy**

Serial No: \_\_\_\_\_

Amendment Filed on: \_\_\_\_\_

IN THE SPECIFICATION

Please replace the title on Page 1, line 1, with the following:

[PACKET TRANSMISSION METHOD AND DEVICE] METHOD AND  
APPARATUS FOR PACKET TRANSMISSION

11/PRTS

09/856142  
531 Rec'd PCT/ 30 MAY 2001

## PACKET TRANSMISSION METHOD AND DEVICE

### BACKGROUND OF THE INVENTION

#### Field of the Invention

This invention relates to a packet transmission system for carrying out transmission of packets using wireless communication, and particularly to a packet transmission method and device using code division multiple access wireless communication.

#### Description of the Related Art

In code division multiple access (CDMA) wireless communication, multiple users share the same frequency band.

Specifically, multiple channels are multiplexed in the same frequency band by data to be transmitted in each of a number of different user communication channels being distributed using different pseudo-random noise code sequences. However, when the number of channels being multiplexed becomes large, interference between channels becomes a problem.

A related art packet exchange method disclosed in Japanese Unexamined Patent Publication No. H.10-23041 has the following steps [1] through [4]:

[1] Packets are broken up into short packet slots without overheads.

[2] The packet slots are compressed on the time axis. That is, the transmission speed of the packet slots is accelerated.

[3] On the basis of mathematical logic, a packet slot distribution pattern is determined.

[4] At times determined on the basis of the packet slot distribution pattern, the accelerated packet slots are transmitted.

With this kind of packet exchange method it is possible to reduce interference and increase the capacity of the communication system.

However, the compressing of the packet slots on the time axis (raising of the transmission rate) set forth in [2] increases the width of the frequency band. That is, in the reducing of interference and the increasing of communication system capacity, the width of the frequency band is increased, and consequently the communication system capacity per unit bandwidth does not increase. In other words, there is no improvement in bandwidth utilization efficiency.

And, with this packet exchange method, as set forth in [3] and [4], the transmission timing of accelerated packet slots is determined on the basis of special mathematical logic. However, for this mathematical logic to be applied, compression (acceleration) of the packet slots is necessary, and, as has already been explained, for this compression to be carried out, the frequency band has to be widened.

Also, this mathematical logic is for allocating transmission timing of compressed packet slots within the period

of 1 pre-compression packet slot, and it is not possible to reduce interference with respect to a period exceeding 1 packet slot period.

Furthermore, dividing a packet into packet slots as set forth in [1] above necessitates a packet slot distribution pattern in both the transmitting device and the receiving device, and leads to increased complexity of the constructions of both of the devices.

#### SUMMARY OF THE INVENTION

It is therefore an object of the present invention to provide a packet transmission method and device with which it is possible to suppress interference in CDMA communication by means of a simple construction. And particularly, it is an object of the invention to provide a packet transmission method and device with which it is possible by means of a simple construction to suppress interference, increase communication system capacity, and improve frequency bandwidth utilization efficiency.

A packet transmission device provided by the invention has a buffer for temporarily holding inputted packets and outputting them in time slots; detecting means for detecting the number of packets held in the buffer; control means for controlling the number of packets outputted by the buffer in each time slot on the basis of results of this detection; and transmitting means for code division multiplexing and transmitting the packets outputted from the buffer.



Preferably, the multiplexed packets are transmitted with directivity.

Another packet transmission device provided by the invention has a buffer for temporarily holding inputted packets and then outputting them in time slots; detecting means for detecting the number of packets inputted before a predetermined time  $t$  and being held in the buffer and the number of packets to be inputted to the buffer in a predetermined period after the predetermined time  $t$ ; control means for controlling the number of packets outputted by the buffer in each time slot on the basis of results of this detection; and transmitting means for code division multiplexing and transmitting the packets outputted from the buffer.

Another packet transmission device provided by the invention has a buffer for temporarily holding inputted packets and outputting them in time slots; detecting means for detecting the number of packets held in the buffer and the holding time for which each of these packets has been held in the buffer; control means for controlling the number of packets outputted by the buffer in each time slot on the basis of results of this detection; and transmitting means for code division multiplexing and transmitting the packets outputted from the buffer.

Another packet transmission device provided by the invention has a buffer made up of a plurality of FIFO buffers each for temporarily holding and then outputting inputted

packets; detecting means for detecting for each FIFO buffer the number of packets held in that FIFO buffer; control means for controlling the packet output timing of each of the FIFO buffers on the basis of the respective detected number of packets; and transmitting means for code division multiplexing and transmitting the packets outputted from the FIFO buffers.

Another packet transmission device provided by the invention has a buffer for temporarily holding and then outputting inputted packets; detecting means for detecting the number of packets held in the buffer; control means for controlling the packet output of the buffer on the basis of detection results of the detecting means so that the number of packets outputted from the buffer is equalized over time; and transmitting means for code division multiplexing and transmitting the packets outputted from the buffer.

Also, in a packet transmission method provided by the invention, inputted packets are held in a buffer; the number of packets held in the buffer is detected; the number of packets outputted from the buffer in each of a succession of time slots is controlled on the basis of results of this detection; and the outputted packets are code division multiplexed and transmitted.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a view illustrating conceptually a packet transmission method of a first preferred embodiment of the invention;

Fig. 2 is a view illustrating an example of an ordinary cellular communication system;

Fig. 3 is a view illustrating an example of packets received by a base station from an exchange in the first preferred embodiment;

Fig. 4 is a view illustrating an example of a state of pre-processed packets;

Fig. 5 is a view illustrating an example of a packet transmission method in a base station in the first preferred embodiment;

Fig. 6 is a block diagram showing an example of the construction of a base station of a second preferred embodiment;

Fig. 7 is a flow chart showing an example of a specific algorithm of the packet transmission method shown in Fig. 5;

Fig. 8 is a block diagram showing the construction of a transmitting device for illustrating the algorithm shown in Fig. 7;

Fig. 9 is a view illustrating packet delays;

Fig. 10 is a flow chart showing an example of an algorithm according to a fourth preferred embodiment; and

Fig. 11 is a view illustrating the construction of a base station of a fifth preferred embodiment.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

##### First Preferred Embodiment

In a first preferred embodiment, a packet transmission

method according to the invention will be applied to a cellular communication system (cellular system).

First, the packet transmission method of this first preferred embodiment will be explained conceptually. Fig. 1 illustrates conceptually the packet transmission method of this first preferred embodiment. In the figure, the reference numbers 1 and 2 denote two packets existing simultaneously (that is, in a period  $t_1$  to  $t_2$ ) in the same frequency band. Each horizontal axis  $t$  is a time axis. Here, a 'packet' is a group of bits of information. A packet generally has an overhead, and this overhead includes a packet length, an origin of transmission, a destination, routing information, and information relating to data type.

In the packet transmission method of this first preferred embodiment, the transmission timing of the packets is controlled so that the packet 1 in Fig. 1 is transmitted with the timing of a packet 3 (that is, in the period  $t_1$  to  $t_2$ ) and the packet 2 is transmitted with the timing of a packet 4 (that is, in the period  $t_2$  to  $t_3$ ). However, the packets 3 and 4, like the packets 1 and 2, are transmitted in the same frequency band.

That is, by controlling the number of packets existing simultaneously and in the same band by controlling the transmission timing of each packet, interference in CDMA communication is suppressed. In particular, by equalizing over time the number of packets existing simultaneously and in the

same band, interference is reduced and communication system capacity is increased.

Differently from the related art packet exchange method disclosed in Japanese Unexamined Patent Publication No. H.10-23041, the communication system capacity is increased without the packet frequency bandwidth being widened. That is, the frequency band utilization efficiency improves.

The example of a cellular communication system will now be described in detail. Fig. 2 is a view illustrating an example of an ordinary cellular communication system. In the figure, the reference number 5 denotes a base station, 6 a mobile station, and 7 an exchange. The mobile station 6 conducts wireless communication with the base stations 5. The exchange 7 performs relaying between the base stations 5 and ordinary subscriber telephones and the like.

In this first preferred embodiment, a packet exchange method according to the invention is applied to the base stations 5 shown in Fig. 2. More specifically, it will be explained how the base stations 5 transmit packets received from the exchange 7 to the mobile station 6 by CDMA wireless communication.

Fig. 3 is a view illustrating an example of packets received from the exchange 7 by the base stations 5 shown in Fig. 2. In Fig. 3, 8a through 8n are packets. The horizontal axes t are time axes. The base stations 5 shown in Fig. 2 carry out various types of processing (hereinafter called pre-processing) such as

parity checking and timing adjustment on the received packets shown in Fig. 3.

Fig. 4 is a view illustrating an example of the state of the packets after this pre-processing is completed. In this figure, parts the same as or equivalent to parts shown in Fig. 3 have been given the same reference numerals as in Fig. 3. T11 through T17 in Fig. 4 are time slots.

That is, 8a through 8e are packets of which pre-processing has been completed in the time slot T11. Similarly, pre-processing of packets 8f and 8g has been completed in the time slot T13; that of 8h in the time slot T14; that of 8i and 8j in the time slot T15; that of 8k through 8m in the time slot T16; and that of 8n in the time slot T17.

Normally, these packets are transmitted wirelessly as soon as their pre-processing finishes. For example, the five packets 8a through 8e are transmitted simultaneously through the same frequency band. In the base stations of this preferred embodiment, on the other hand, these packets of which pre-processing is finished are transmitted with the timing shown in Fig. 5. Fig. 5 is a view illustrating an example of a method by which the base stations of the this first preferred embodiment transmit the packets shown in Fig. 4. In this figure, parts the same as or equivalent to parts shown in Fig. 4 have been given the same reference numerals as in Fig. 4. And the time slots T21 through T24 correspond to the time slots T11 through T14 shown

in Fig. 4. There is no objection to the time slots T21 through T24 and the time slots T11 through T14 being the same. This is a issue of the design of the circuit.

As shown in Fig. 5, the packets 8a and 8b are let out in the time slot T21, the packets 8c and 8d are let out in the next time slot T22, the packets 8e, 8f and 8g are let out in the time slot T23 and the packet 8h is let out in the time slot T24. That is, the transmission timing of some packets is delayed so that the number of packets transmitted simultaneously and in the same band is equalized over time. By this means it is possible to reduce interference and increase communication system capacity.

This equalizing over time of the number of outputted packets will now be explained. For example, it will be supposed that a series of packets inputted to the base stations 5 in a period  $t_1$  to  $t_2$  has been outputted (let out) in an period  $t_3$  to  $t_4$ . And it will be supposed that at any time  $t$  the number of packets having been inputted is expressed by the function  $f(t)$  and the number of packets having been outputted is expressed by the function  $g(t)$ . To simplify the explanation, the number of packets inputted at times other than the period  $t_1$  to  $t_2$  will be taken to be zero. Variables  $A_{\text{vef}}$  and  $A_{\text{veg}}$  shown in the following expressions (1) and (2) will now be defined.

$$Avef = \frac{\int_{t1}^{t2} f(t)dt}{t2 - t1} \dots(1)$$

$$Aveg = \frac{\int_{t3}^{t4} g(t)dt}{t4 - t3} \dots(2)$$

Then, the number of outputted packets is equalized over time when the following expression (3) holds.

$$\frac{\int_{t1}^{t2} (f(t) - Avef)^2 dt}{t2 - t1} > \frac{\int_{t3}^{t4} (g(t) - Aveg)^2 dt}{t4 - t3} \dots(3)$$

That is, equalizing over time the number of outputted packets means reducing the fluctuation with time of the number of outputted packets.

As mentioned above, in the packet exchange method of this first preferred embodiment, the number of packets let out simultaneously and in the same band is controlled by a delay of each packet being controlled. By this means, it is possible to suppress interference in CDMA communication. In particular, by equalizing over time the number of packets let out simultaneously and in the same band, it is possible to reduce over-wireless interference and increase communication system capacity.

And, differently from in the packet transmission method disclosed in Japanese Unexamined Patent Publication No. H.10-23041, because the packets are transmitted without being



divided up, there is no need for special information (information pertaining to the division of packets) such as a packet slot distribution pattern on the receiving side (for example in the mobile station 6 shown in Fig. 2), and the construction of the receiving side does not become complicated.

And, because the communication system capacity is increased without the frequency bandwidth being widened, the frequency band utilization efficiency improves.

#### Second Preferred Embodiment

In a second preferred embodiment, a specific construction of the packet transmission device shown in the first preferred embodiment will be described. Briefly, there is provided a buffer for holding temporarily and outputting inputted packets, and the number of packets outputted from this buffer simultaneously is controlled.

Fig. 6 is a construction block diagram of an example of a base station of this second preferred embodiment. In the figure, parts equivalent to parts in Fig. 2 have been given the same reference numerals. In Fig. 6, the reference numerals 22a through 22c denote packet transfer circuits provided for each of a number of user channels; 9 a pre-processing part for performing pre-processing on packets inputted from the exchange 7; 10 a buffer for delaying and outputting packets from the pre-processing part 9; and 11 a transmitting part for code division multiplexing and transmitting packets from the buffer

10. The pre-processing part 9 is made up of user channel pre-processing parts 24a through 24c for carrying out pre-processing, provided for each of the user channels.

The buffer 10 will now be described. The buffer 10 is made up of FIFO (First In - First Out) type buffers 23a through 23c provided for each of the user channels. FIFO is an input-output type wherein data is outputted in the order in which it was inputted.

By a FIFO type buffer (hereinafter, FIFO buffer) being provided for each user channel like this, the input order and the output order of packets belonging to the same user channel being reversed when the packets are delayed is avoided. And, nor does it happen that two or more packets pertaining to the same user are outputted simultaneously.

When the transmission order of packets belonging to the same user channel changes at the base station, CDMA communication cannot be carried out correctly. And also if two or more packets belonging to the same user channel are outputted simultaneously, CDMA communication cannot be carried out correctly.

Counting means 12 detects for each time slot whether or not there is a packet input to each of the FIFO buffers 23a through 23c. Buffer control means 13 controls the packet outputs of the FIFO buffers 23a through 23c on the basis of detection results from the counting means 12.

The buffer control means 13 will now be explained. As has

already been mentioned, the counting means 12 detects for each time slot and for each of the FIFO buffers 23a through 23c whether or not there has been a packet input, and outputs this information to the buffer control means 13. And the buffer control means 13 controls the packet outputs of the FIFO buffers 23a through 23c. Accordingly, the buffer control means 13 can recognize the number of packets which have been inputted to the FIFO buffers 23a through 23c but have not yet been outputted (hereinafter, un-outputted packets).

On the basis of this number of un-outputted packets, the buffer control means 13 controls the delays of the packets. More specifically, the buffer control means 13 controls the packet outputs of the FIFO buffers 23a through 23c so that the number of packets outputted from the buffer 10 is equalized over time.

In this way, in the packet transmission device of this second preferred embodiment, because the packet output is controlled on the basis of the number of un-outputted packets existing in the buffer, with a simple construction, interference in CDMA communication can be suppressed. In particular, it is possible with a simple construction to realize a CDMA communication device which can average over time the number of outputted packets.

And, because two or more packets belonging to the same user are not outputted simultaneously, CDMA communication can be carried out correctly.

And also, because a FIFO type buffer is allocated to each

of the user channels, CDMA communication can be carried out correctly and it is possible with a simple construction to obtain a packet transmission device capable of controlling the delay of each packet.

### Third Preferred Embodiment

An example of an algorithm of a packet transmission method according to the invention will be presented using Figs. 4 through 8. In this third preferred embodiment, in the buffer 10 shown in Fig. 6, when the number of inputted packets is in a decreasing tendency, the output timing of some packets is delayed. And when the number of inputted packets is in an increasing tendency, packets are outputted with as little delay as possible. By this means, it is possible to reduce interference at the time of transmission.

For example, by detecting in advance the number of packets soon to be inputted to the buffer 10 shown in Fig. 6 and comparing that detected number of packets with the number of packets currently being held in the buffer 10, it is possible to determine the number of outputted packets optimal for reducing interference.

Fig. 7 is a flow chart showing an example of a specific algorithm of the packet transmission method shown in Fig. 5.

That is, the packets shown in Fig. 4 can be converted as shown in Fig. 5 by means of the algorithm shown in Fig. 7. In Fig. 7, 14 through 17 show processing constituting an algorithm.

To explain the algorithm shown in Fig. 7, the following variables shown in [1] through [7] will be defined.

[1]  $N_n(T)$  : the number of un-outputted packets which have been inputted to the buffer 10 from the pre-processing part 9 shown in Fig. 6 before the time slot  $T$  but have not yet been outputted to the transmitting part 11 (initial value = 0).

[2]  $N(T)$  : the number of packets inputted to the buffer 10 from the pre-processing part 9 in the time slot  $T$ .

[3]  $N_x(T)$  : the number of un-outputted packets existing in the buffer 10 at the end time of the time slot  $T$ . That is,  $N_x(T) = N_n(T) + N(T)$ .

[4]  $AveT$  : a freely set number larger than 2.

[5]  $N_p(T)$  : the sum of 'the number of packets existing in the buffer 10 at the end time of the time slot  $T$ ' and 'the number of packets inputted to the buffer 10 in the period of the subsequent time slots  $(T+1) \sim (T+(AveT-1))$ '. That is,  $N_p(T) = N_n(T) + N(T) + N(T+1) + \dots + N(T+AveT-1)$ .

[6]  $N_q(T)$  : the average value of  $N_p(T)$  per 1 time slot, that is,  $[N_p(T)/AveT]$ . When  $N_q(T)$  includes a value after the decimal point, then an integer obtained by rounding up, rounding down or rounding off.

[7]  $N_a(T)$  : the number of packets that shall be outputted by the buffer 10 in the time slot corresponding to the time slot  $T$ . Here,  $N_a(T) = \min(N_x(T), N_q(T))$ .

When  $x$  includes a value after the decimal point,  $[x]$  is an integer

obtained by rounding up, rounding down or rounding off. And  $\min(a,b)$  indicates the smaller of  $a$  and  $b$ . The time slot  $(T+1)$  means the time slot following the time slot  $T$ .

Here, the variable  $N_q(T)$  is a guide for determining the increase/decrease tendency of the number of inputted packets to the buffer 10. More specifically, it is the average value per 1 time slot of the number of packets (that is,  $N_p(T)$ ) that the buffer 10 should output in the  $AveT$  time slots period of from the time slot  $T$  to the time slot  $(T+(AveT-1))$ . When the number of un-outputted packets  $N_x(T)$  is greater than this average value  $N_q(T)$ , it can be inferred that the number of inputted packets is in a decreasing tendency, and conversely when it is smaller it can be inferred that the number of inputted packets is in an increasing tendency.

Fig. 8 is a block diagram of the construction of a transmission device for illustrating the algorithm shown in Fig. 7. The transmission method shown in Fig. 7 can also be realized with the transmission device shown in Fig. 6, but here, to simplify the explanation, the method will be explained using the transmission device shown in Fig. 8. In Fig. 8, parts the same as parts shown in Fig. 6 have been given the same reference numerals as in Fig. 6.

In Fig. 8, delaying means 25a through 25i delay each inputted packet by 1 time slot and output them. Counting means 12a through 12d detect the numbers of packets inputted to the

FIFO buffers 23a through 23c and to the delaying means 25a through 25i. The algorithm shown in Fig. 7 will be explained using the example of the time slot T11 shown in Fig. 4. It will be assumed that the variable AveT is 4. At the start point Ta of the time slot T11, the number of un-outputted packets existing in the buffer 10 shown in Fig. 8 is 0. That is,  $N_n(T11)=0$ .

And the number of packets detected by the counting means 12a, i.e. the number of packets newly inputted to the buffer 10 in the time slot T11, is 5. Thus  $N(T11)=5$ .

From the above, the number of un-outputted packets existing in the buffer 10 at the end point Tb of the time slot T11 is 5.

That is,  $N_x(T11)=N(T11)+N_n(T11)=0+5=5$  (the processing 14 shown in Fig. 7).

On the other hand, the number of packets detected by the counting means 12b, 12c and 12d in the time slot T11, i.e. the number of packets to be newly inputted to the buffer 10 in the time slots T12, T13 and T14 shown in Fig. 4, are respectively 0, 2 and 1. Thus,  $N(T12)=0$ ,  $N(T13)=2$  and  $N(T14)=1$ .

The sum of the number of packets already existing in the buffer 10 at the start point Ta of the time slot T11 and the number of packets newly inputted to the buffer 10 in the time slots T11 through T14 is 8. That is,

$$N_p(T11)=N_n(T11)+N(T11)+N(T12)+N(T13)+N(T14)=0+5+0+2+1=8.$$

The value obtained by dividing this by the time width AveT

of the time slots T11 through T14, i.e. the number of time slots 4, is 2. That is,  $N_q(T11) = N_p(T11) / AveT = 8/4 = 2$  (the processing 14 shown in Fig. 7). If the obtained value  $N_q(T11)$  includes a value after the decimal point, it is integerized by rounding up, rounding down or rounding off.

Therefore, the number of packets that the buffer 10 shall output in the slot corresponding to the time slot T11 is the lower of the number of un-outputted packets  $N_x(T11)$  existing in the buffer 10 and the average value  $N_q(T11)$  of the number of packets inputted to the buffer 10, and is  $N_a(T11) = 2$ . In other words,  $N_a(T11) = \min(N_x(T11), N_q(T11)) = \min(5, 2) = 2$  (the processing 15 in Fig. 7).

The buffer control means 13 shown in Fig. 8 performs control so that this  $N_a(T11)$  packets, i.e. 2 packets, are outputted from the buffer 10 (the processing 16 shown in Fig. 7). More specifically, the buffer 10 outputs the two packets 8a and 8b in the time slot corresponding to the time slot T11, i.e. the time slot T21 shown in Fig. 5. At this time, it is arbitrary which two of the five packets 8a through 8e are outputted. However, if the packets are outputted in the order in which they were inputted to the buffer 10 shown in Fig. 6, the transmission of packets is unlikely to become greatly delayed.

And, as already mentioned, it is impossible for two or more packets belonging to the same user channel to be transmitted simultaneously. Consequently, it may happen that the value of



$N_a(T)$  obtained with the algorithm explained above exceeds the number of packets that realistically can be transmitted. In this case, the closest possible number of packets to  $N_a(T)$  that can be transmitted should be outputted from the buffer 10. If  $N_q(T_{11})$  is a number larger than  $N_x(T_{11})$ , i.e.  $N_q(T_{11}) > 5$ , then all of the packets existing in the buffer 10 (that is, the packets 8a through 8e shown in Fig. 4) are outputted.

When  $N_q(T_{11}) \geq N_x(T_{11})$ , i.e. the number of packets to be inputted to the buffer 10 hereafter is in an increasing tendency, the 5 un-outputted packets existing in the buffer 10 in the time slot  $T_{11}$  are all outputted. When on the other hand  $N_q(T_{11}) < N_x(T_{11})$ , in other words when the number of inputted packets is in a decreasing tendency, only the number of packets of the average value  $N_q(T_{11})$  are outputted. By the number of outputted packets at a time of decreasing tendency being made substantially the same as the average value  $N_q(T_{11})$ , the effect of reducing interference is improved.

Thereafter, in time slots  $T_{12}$  through  $T_{17}$ , the same processing is repeated. Because 2 packets of the 5 packets received by the time slot  $T_{11}$  are transmitted and 3 packets remain, the number of packets  $N_n(T_{12})$  existing in the buffer 10 at the start point  $T_b$  of the time slot  $T_{12}$  is 3.

Although here the time width  $AveT$  for equalizing was made four time slots, it is a freely determinable value. Generally, if  $AveT$  is made a large value, the interference-suppressing

effect is large, but on the other hand larger delays tend to arise in packet transmission. This will be discussed in more detail below.

#### Fourth Preferred Embodiment

In a fourth preferred embodiment, the amount by which each packet in the buffer 10 shown in Fig. 6 may be delayed is limited.

This will now be explained using the example of the algorithm shown in the third preferred embodiment.

As mentioned above, in equalizing over time the number of packets transmitted, the larger the time width pertaining to that equalization (i.e. AveT) is made, the greater the effect of suppressing interference becomes. At the same time, however, there is a possibility of large delays arising in packet transmission.

Figs. 9A through 9C are views illustrating delays of packets.

In the figures, 18a through 18d are packets, and the horizontal axes are time axes. Fig. 9A illustrates an example of packets received by a base station 5 from the exchange 7 shown in Fig. 2. It will be supposed that, as shown in the figure, for example 4 packets (18a through 18d) are received in a time slot T31, and after that there is no reception of new packets. In this case, using the algorithm shown in the third preferred embodiment, the number of packets transmitted in each time slot is 1 (Fig. 9B).

In this fourth preferred embodiment, the delay of each packet is limited. Fig. 9C illustrates an example of a case

wherein the maximum value of the delay of each packet is limited to 2 time slot periods. In the time slot T33, packets delayed by at least two time slots (i.e. packets 18c and 18d) are preferentially or forcibly let out.

Fig. 10 is a flow chart showing an example of an algorithm of this fourth preferred embodiment. Parts the same as or equivalent to parts in Fig. 7 have been given the same reference numerals in Fig. 10 and will not be explained again here. Apart from the addition of the processing steps 19 and 20, the algorithm is the same as that shown in Fig. 7. In Fig. 10, D is a maximum allowable packet delay time and is a freely settable value. That is, when packets exist which have not yet been let out despite a pre-set maximum delay time D having been reached, these are let out immediately.

As described above, in the packet transmission method of this fourth preferred embodiment, by limiting the delay of each packet in controlling the number of packets let out simultaneously and in the same frequency band, a packet transmission method is realized with which interference in CDMA communication is suppressed and packet delays do not become large.

In particular, as a result of the delay of each packet being limited as the number of packets let out is equalized over time, it is possible to realize a packet transmission method with which the interference-suppressing effect is large and packet delays

do not become large.

#### Fifth Preferred Embodiment

A base station of a cellular system can transmit radio waves in all directions (through  $360^\circ$ ) around the base station. However, if this is treated as one zone, the frequency utilization efficiency will not be high. To overcome this, for example by using a plurality of directional antennae, the field is divided into three  $120^\circ$  parts, and each is treated as a different zone. These divided zones are called sectors.

In this fifth preferred embodiment, packet delays are controlled sector by sector. That is, the number of packets let out simultaneously and in the same band is controlled separately for each sector.

Fig. 11 illustrates an example of a construction of a base station of this fifth preferred embodiment. Parts the same as or equivalent to parts in Fig. 6 have been given the same reference numerals in Fig. 11 and will not be explained again here. The reference numerals 21a through 21c in Fig. 11 each denote a transmitting part for transmitting radio waves having directivity.

By controlling the number of packets let out simultaneously and in the same band sector by sector in this way, it is possible to further suppress interference in CDMA communication.

In particular, by performing control sector by sector so that the number of packets let out simultaneously and in the same

band is equalized over time, it is possible to reduce over-wireless interference to the extreme and increase communication system capacity greatly.

WHAT IS CLAIMED IS:

1. A packet transmission device, comprising:  
a buffer for temporarily holding and then outputting in time slots inputted packets;  
detecting means for detecting the number of packets held in the buffer;  
control means for controlling the number of packets outputted by the buffer in each time slot on the basis of the number of packets detected by the detecting means; and  
transmitting means for code division multiplexing and transmitting the packets outputted from the buffer.
2. A packet transmission device according to claim 1, wherein the transmitting means code division multiplexes packets outputted simultaneously from the buffer and transmits these multiplexed packets with directivity.
3. A packet transmission device according to claim 1, wherein the detecting means detects the number of packets inputted to the buffer before a predetermined time and held in the buffer and detects the number of packets to be inputted to the buffer in a predetermined period after the predetermined time, and the control means controls the number of packets outputted in each time slot on the basis of the detected number of packets inputted before the predetermined time and held in the buffer and the number of packets to be inputted in the predetermined period after the predetermined time.

4. A packet transmission device according to claim 1, wherein the detecting means detects the number of packets held in the buffer and the time for which each of these packets has been held in the buffer and on the basis of the detected number of packets and times held the control means controls the number of packets outputted by the buffer in each time slot while placing an upper limit on the time for which any packet is held in the buffer.

5. A packet transmission device according to claim 1, wherein the buffer is made up of a plurality of FIFO buffers each for temporarily holding and then outputting inputted packets; the detecting means detects for each FIFO buffer the number of packets held in the FIFO buffer; the control means controls the output timing of the packets outputted by each of the FIFO buffers on the basis of this detected number of packets; and the transmitting means code division multiplexes and transmits the packets outputted from the FIFO buffers.

6. A packet transmission device, comprising:

a buffer for temporarily holding and then outputting inputted packets;

detecting means for detecting the number of packets held in the buffer;

control means for controlling the packet output of the buffer on the basis of detection results of the detecting means so that the number of packets outputted from the buffer is

equalized over time; and

transmitting means for code division multiplexing and transmitting the packets outputted from the buffer.

7. A packet transmission method, comprising the steps of:  
holding inputted packets in a buffer;  
detecting the number of packets held in the buffer;  
controlling the number of packets outputted from the buffer in each of a succession of time slots on the basis of results of the detecting; and

code division multiplexing and transmitting the packets outputted from the buffer.

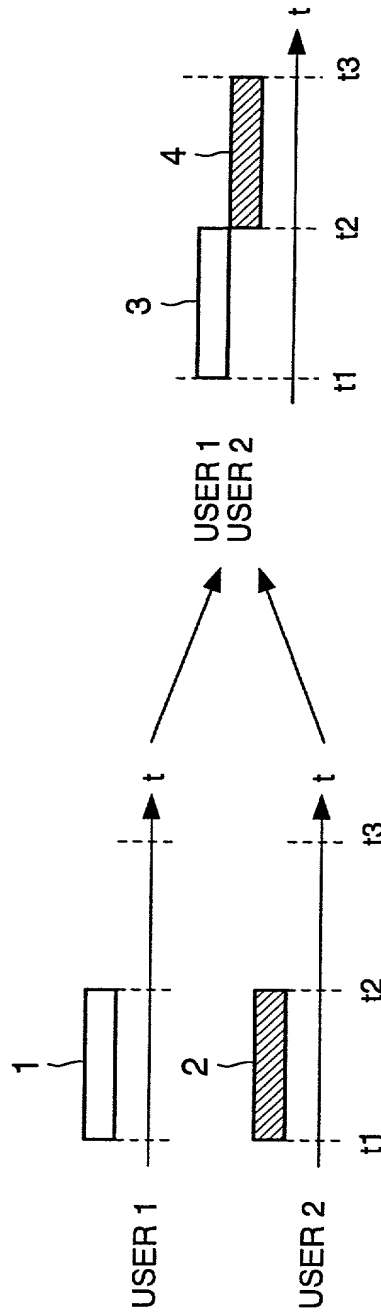


# ABSTRACT

A packet transmission method and device with which it is possible by means of a simple construction to suppress interference, increase communication system capacity and improve frequency bandwidth utilization efficiency in a code division multiple access wireless communication system. The device has a buffer for temporarily holding inputted packets and outputting them in time slots; detecting means for detecting the number of packets held in the buffer; control means for controlling the number of packets outputted by the buffer in each time slot on the basis of the detection results; and transmitting means for code division multiplexing and transmitting the packets outputted from the buffer.

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FIG. 1



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FIG. 2

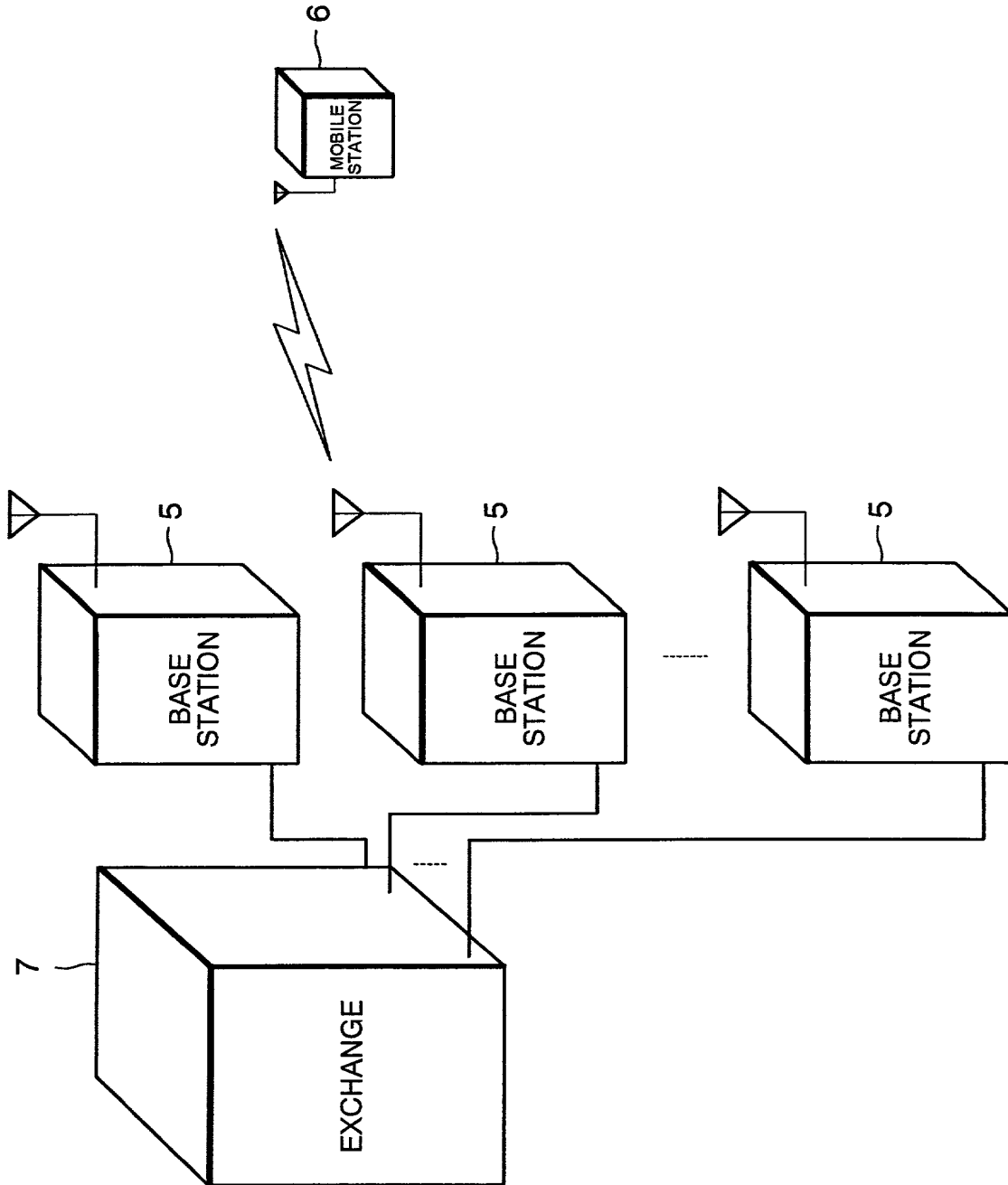
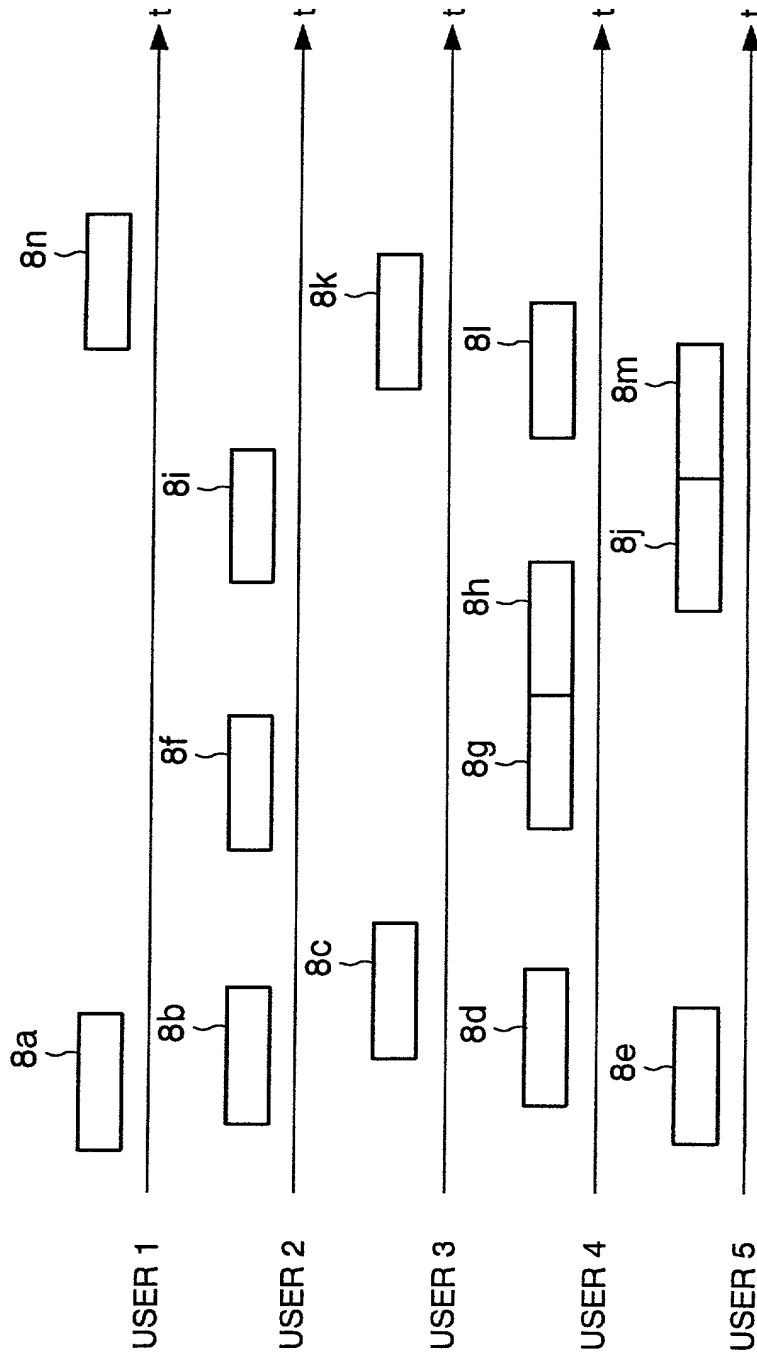


FIG. 3



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FIG. 4

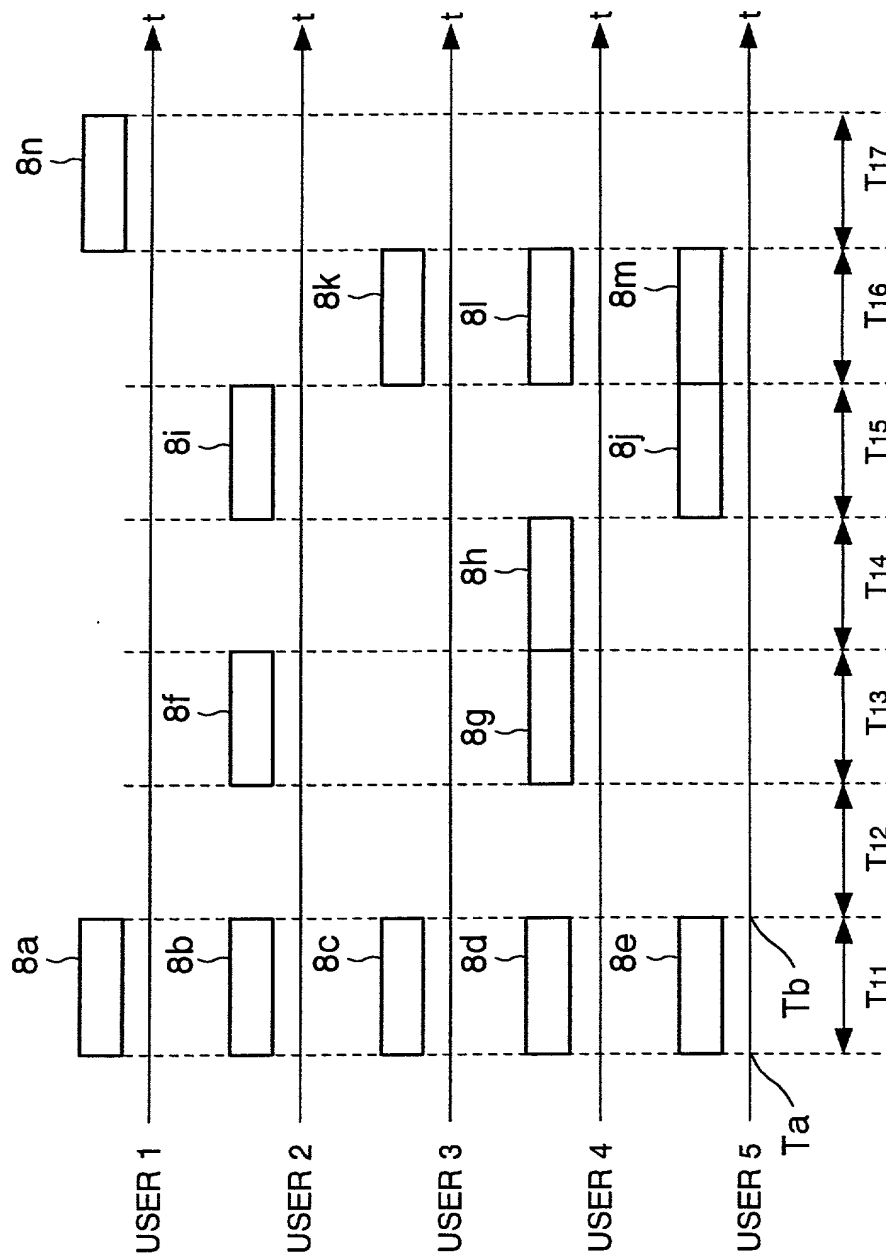
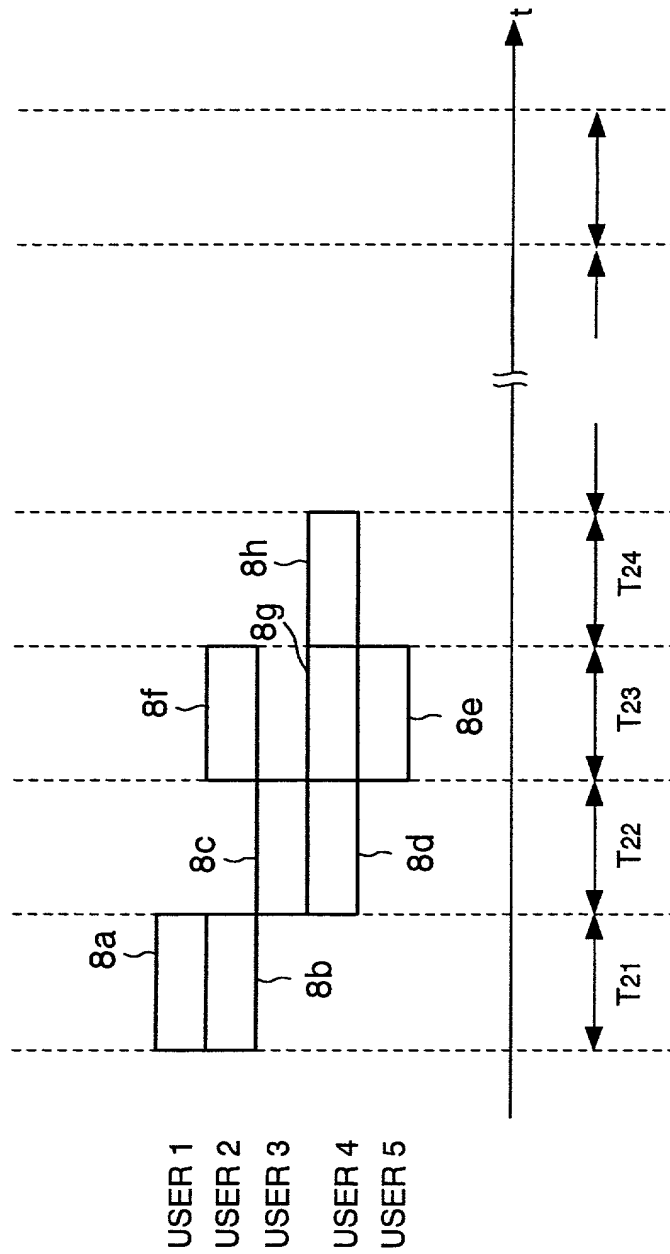
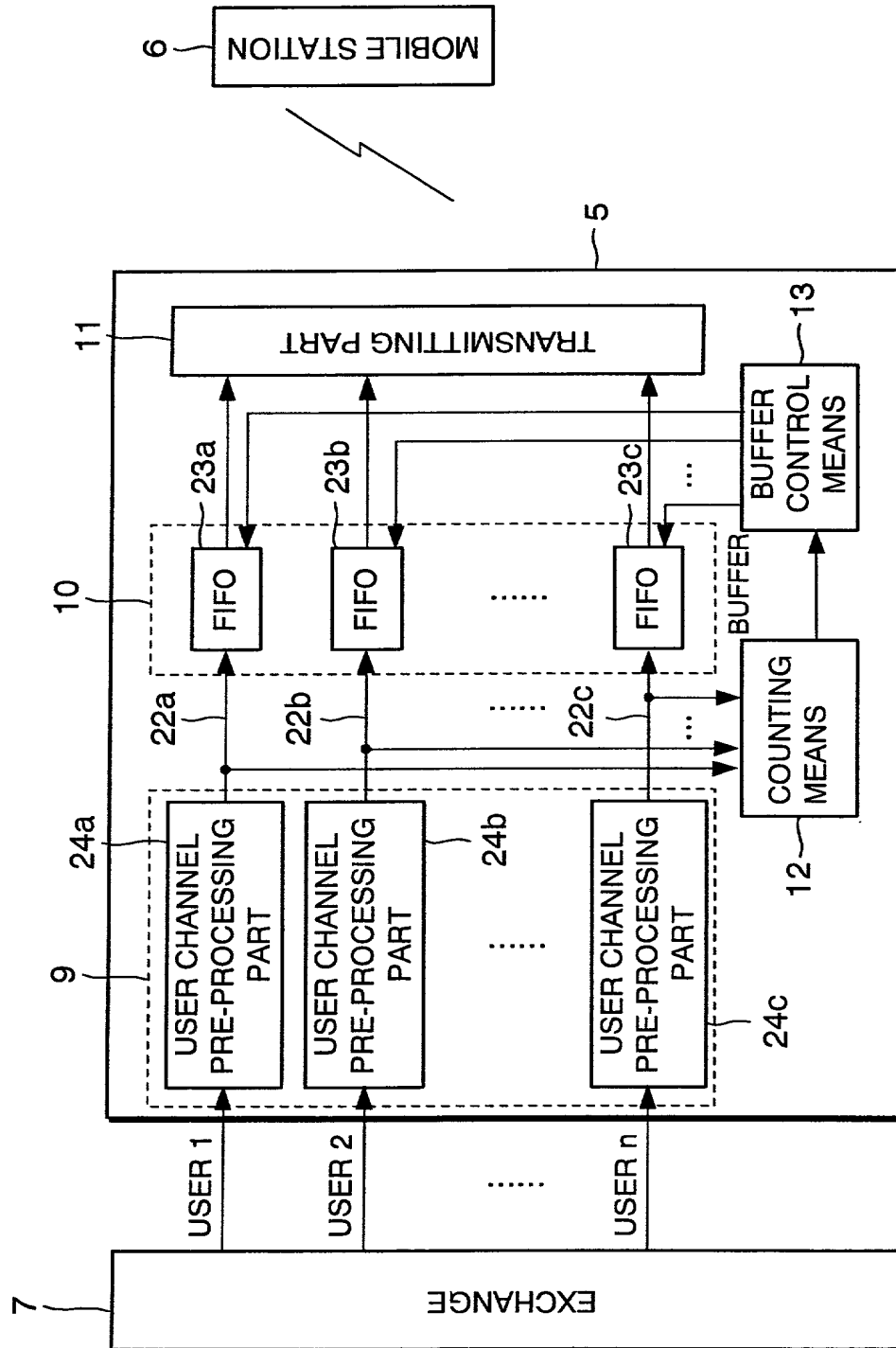


FIG. 5



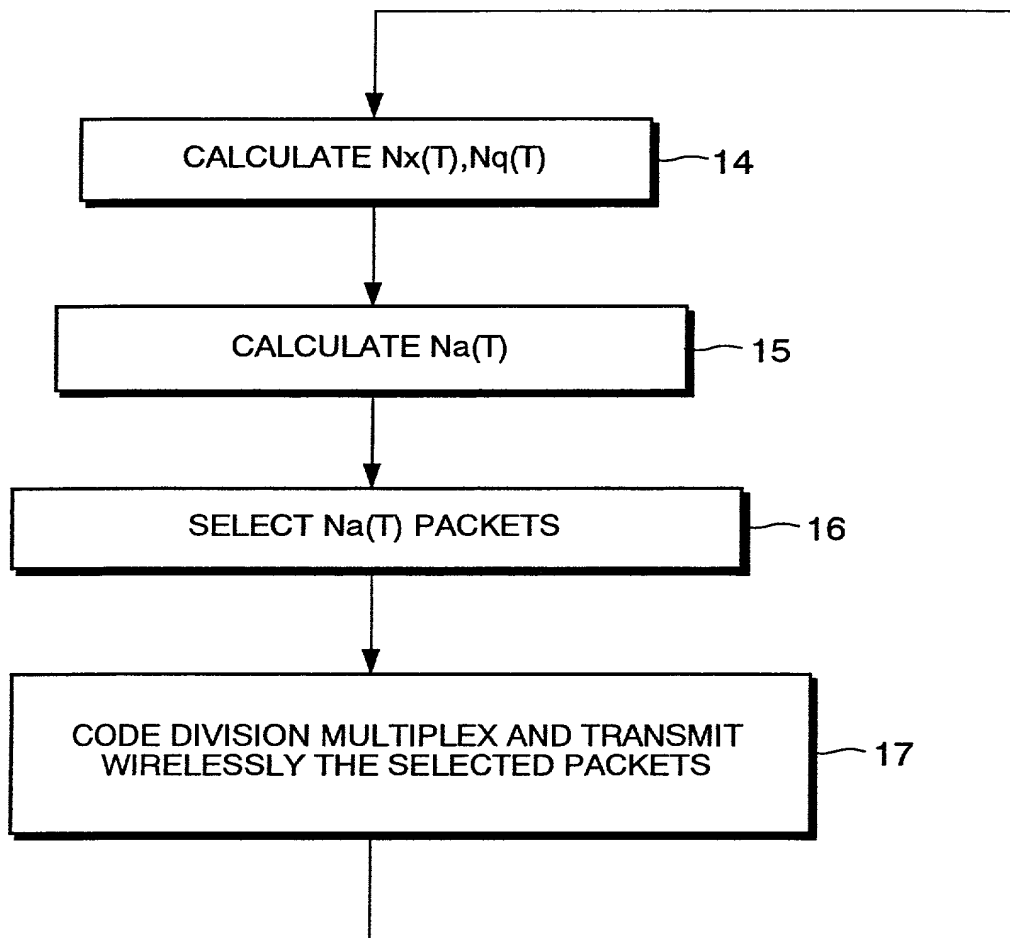
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FIG. 6



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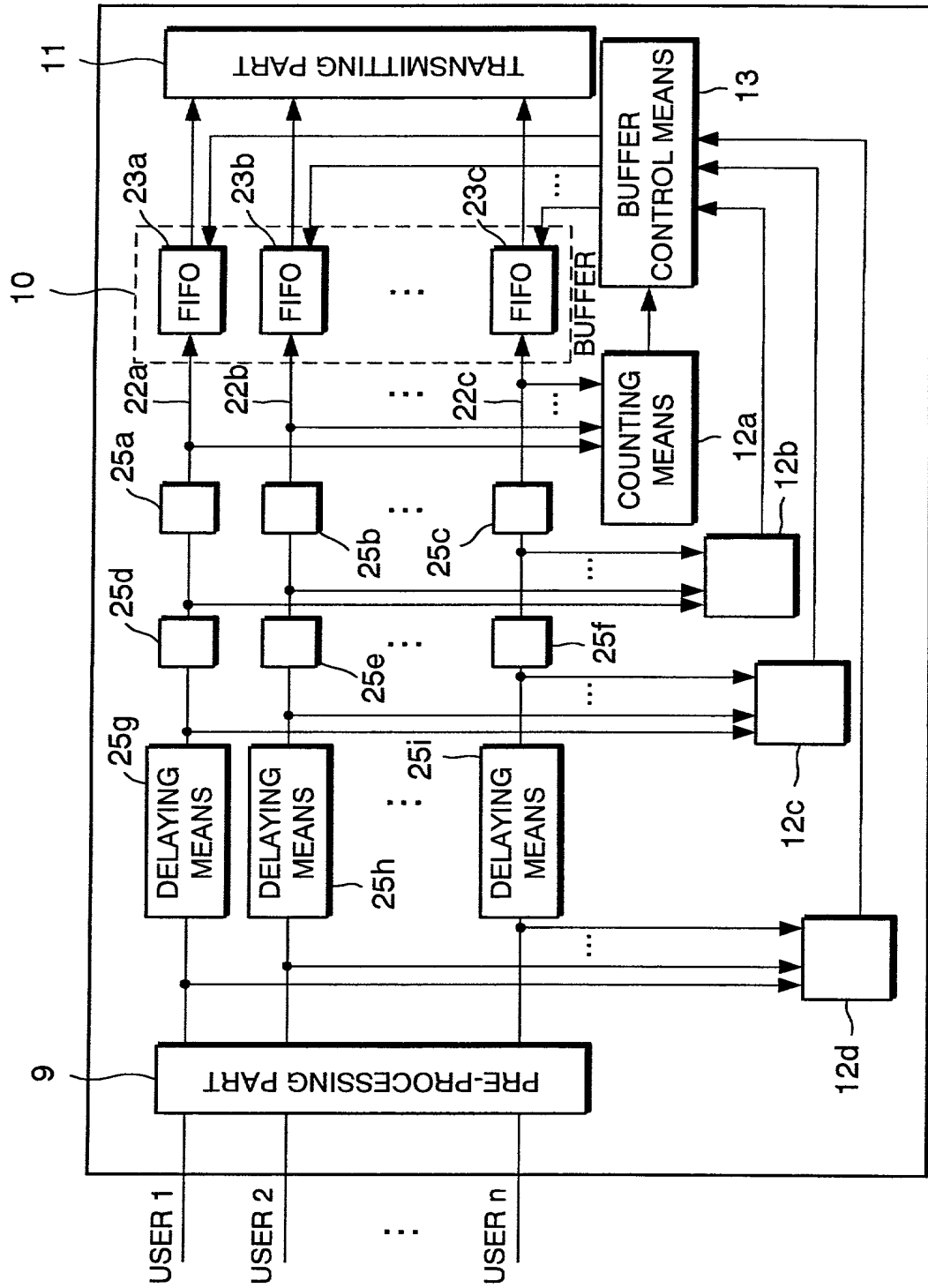
FIG. 7





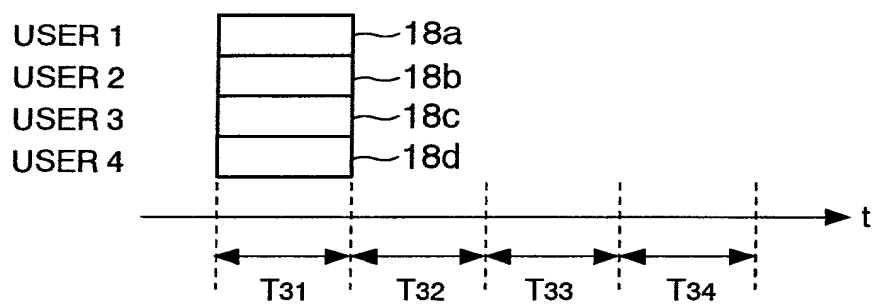
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FIG. 8

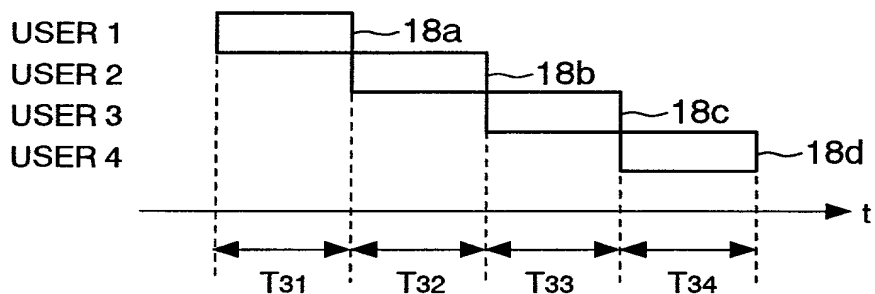


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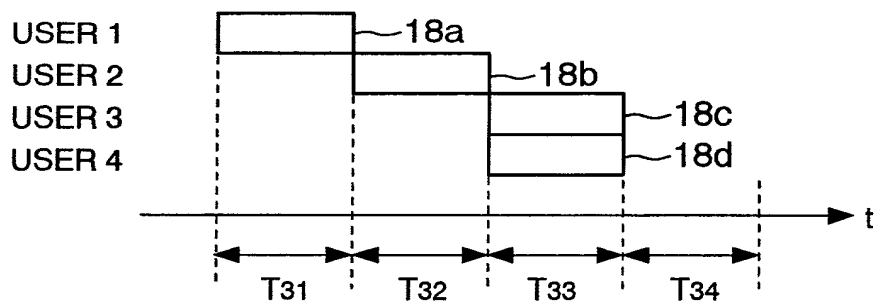
FIG. 9



(a)



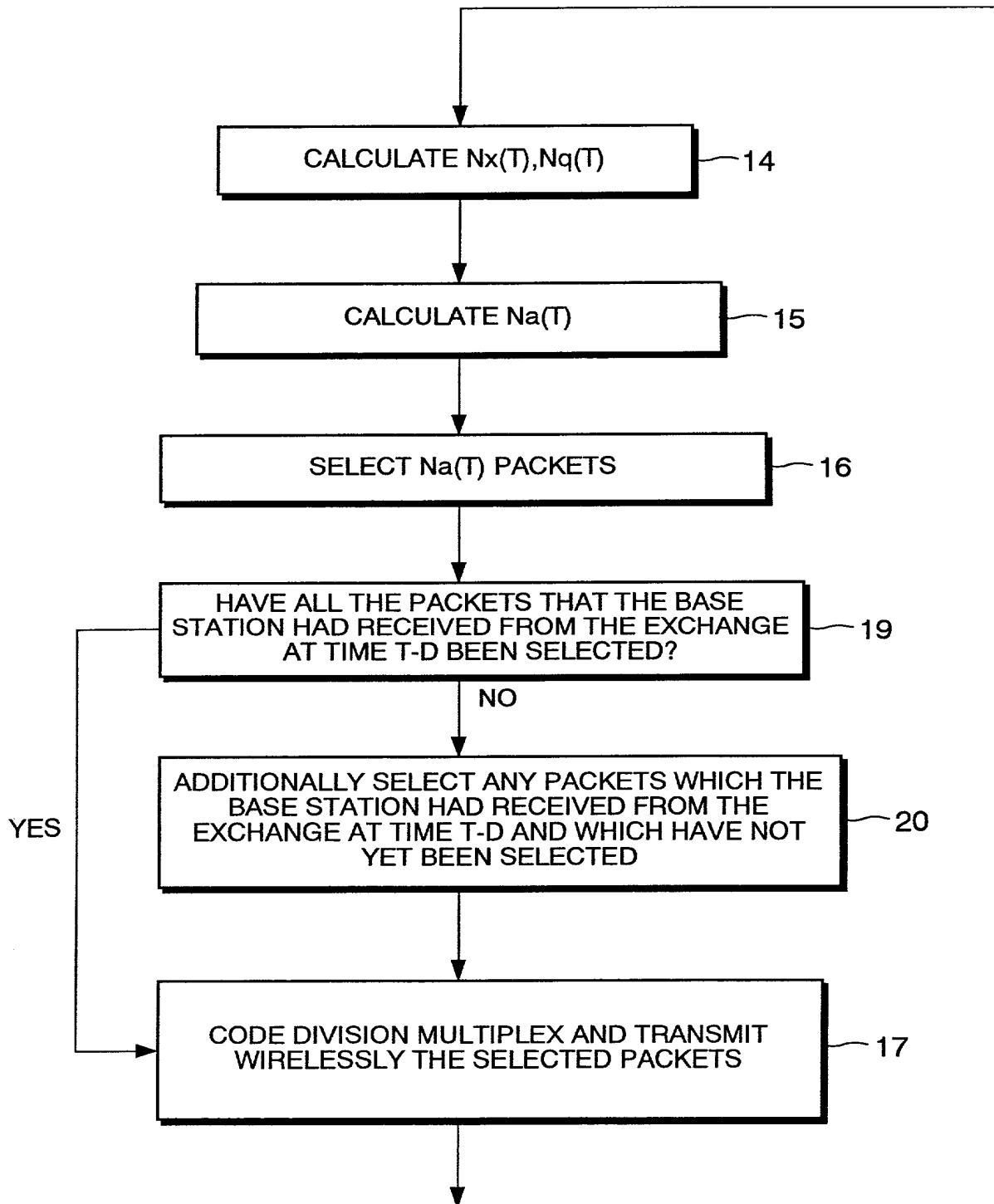
(b)



(c)

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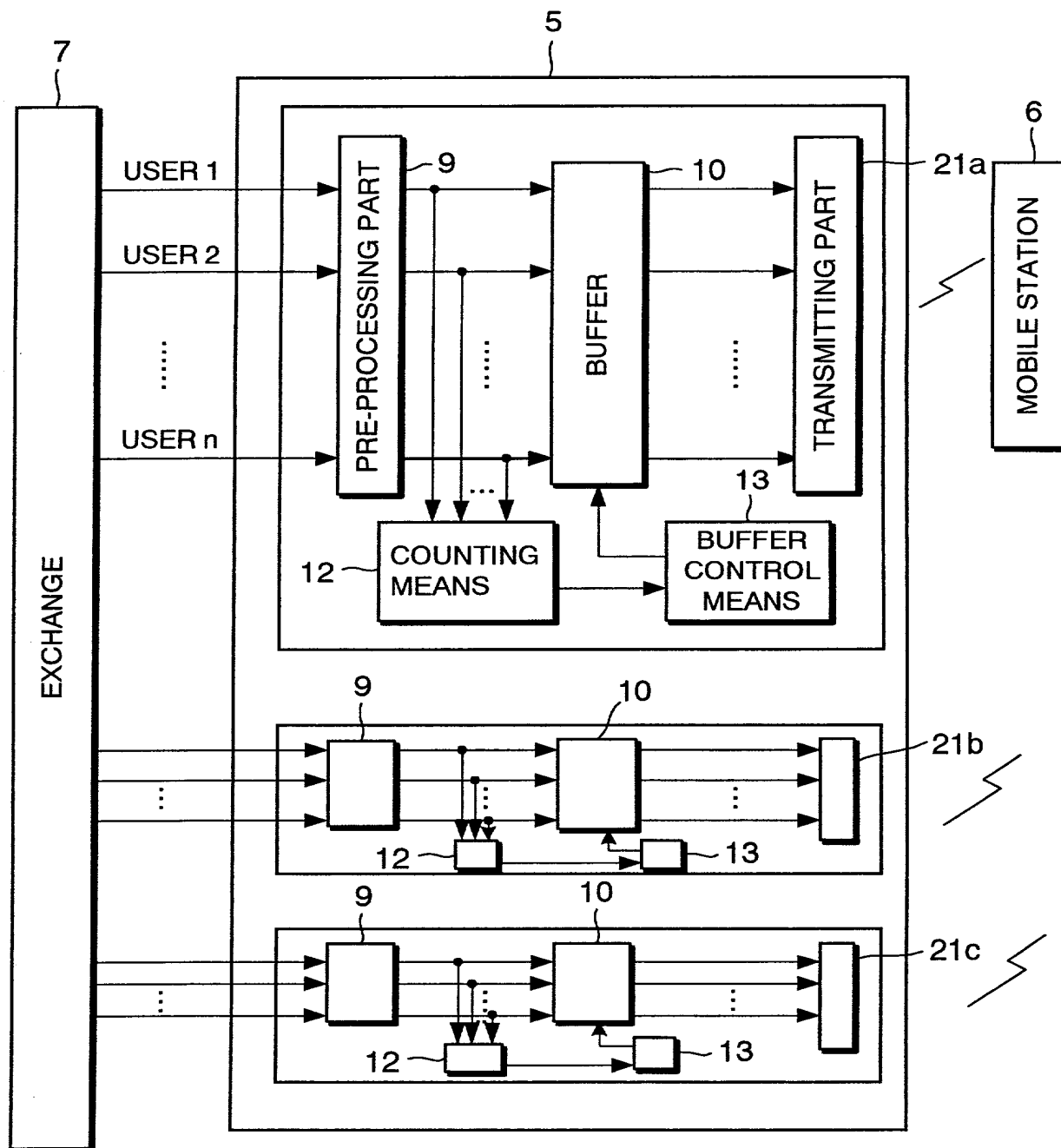
FIG. 10



D: MAXIMUM ALLOWABLE PACKET DELAY TIME

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FIG. 11



# Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

206641US2PCT

## Japanese Language Declaration

### 日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者（下記の名称が複数の場合）であると信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled.

METHOD AND APPARATUS FOR PACKET

TRANSMISSION ( as amended )

上記発明の明細書は、

the specification of which

☒ 本書に添付されています。

☐ is attached hereto.

☐ \_\_\_\_月\_\_\_\_日に提出され、米国出願番号または特許協定条約国際出願番号を\_\_\_\_とし、

☒ was filed on 30 September 1999

(該当する場合) \_\_\_\_に訂正されました。

as United States Application Number or

PCT International Application Number

PCT/JP99/05360 and was amended on

\_\_\_\_ (if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1条56項に定義されたとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37; Code of Federal Regulations, Section 1.56.

Japanese Language Declaration  
(日本語宣言書)

私は、米国法典第35編119条 (a) - (d) 項又は365条 (b) 項に基づき下記の、米国以外の国の少なくとも一カ国を指定している特許協力条約365 (a) 項に基づく国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

Prior Foreign Application(s)  
外国での先行出願

(Number) (番号)	(Country) (国名)
(Number) (番号)	(Country) (国名)

私は、第35編米国法典119条 (e) 項に基づいて下記の米国特許出願規定に記載された権利をここに主張いたします。

(Application No.) (出願番号)	(Filing Date) (出願日)
(Application No.) (出願番号)	(Filing Date) (出願日)

私は、下記の米国法典第35編120条に基づいて下記の米国特許出願に記載された権利、又は米国を指定している特許協力条約365条 (c) に基づく権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国特許出願に開示されていない限り、その先行米国出願書提出日以降で本出願書の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規則法典第37編1条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

PCT/JP99/05360	30 September 1999
(Application No.) (出願番号)	(Filing Date) (出願日)

(Application No.) (出願番号)	(Filing Date) (出願日)
(Application No.) (出願番号)	(Filing Date) (出願日)

私は、私自信の知識に基づいて本宣言書中で私が行なう表明が真実であり、かつ私の入手した情報と私の信じることに基づく表明が全て真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第18編第1001条に基づき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の声明を行えば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

(Day/Month/Year Filed) (出願年月日)	Priority Claimed 優先権主張	
	<input type="checkbox"/> Yes はい	<input type="checkbox"/> No いいえ
(Day/Month/Year Filed) (出願年月日)	<input type="checkbox"/> Yes はい	<input type="checkbox"/> No いいえ

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.) (出願番号)	(Filing Date) (出願日)
(Application No.) (出願番号)	(Filing Date) (出願日)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

(Status: Patented, Pending, Abandoned) (現況: 特許許可済、係属中、放棄済)
(Status: Patented, Pending, Abandoned) (現況: 特許許可済、係属中、放棄済)

(Status: Patented, Pending, Abandoned) (現況: 特許許可済、係属中、放棄済)
(Status: Patented, Pending, Abandoned) (現況: 特許許可済、係属中、放棄済)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**Japanese Language Declaration**  
(日本語宣言書)

委任状：私は下記の発明者として、本出願に関する一切の手続きを米特許商標局に対して遂行する弁理士または代理人として、下記の者を指名いたします。  
(弁理士、または代理人の指名及び登録番号を明記のこと)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: (list name and registration number)

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国籍	Citizenship	
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